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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/287,023	04/06/1999	DALE W. MALIK	BS100/176677	5759

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[REDACTED] EXAMINER

NGUYEN, DUC MINH

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2643

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22

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/287,023	MALIK	
	<b>Examiner</b>	<b>Art Unit</b>	
Duc Nguyen	2643		

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) Responsive to communication(s) filed on \_\_\_\_\_.
  - 2a) This action is FINAL.                    2b) This action is non-final.
  - 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.
- Disposition of Claims**
- 4) Claim(s) 1-7 and 10-36 is/are pending in the application.
    - 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
  - 5) Claim(s) \_\_\_\_\_ is/are allowed.
  - 6) Claim(s) 1-7, 10-36 is/are rejected.
  - 7) Claim(s) \_\_\_\_\_ is/are objected to.
  - 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.
 

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved by the Examiner.
 

If approved, corrected drawings are required in reply to this Office action.
- 12) The oath or declaration is objected to by the Examiner.

#### Priority under 35 U.S.C. §§ 119 and 120

- 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
  - a) All    b) Some \* c) None of:
    1. Certified copies of the priority documents have been received.
    2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
    3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.
- 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).
  - a) The translation of the foreign language provisional application has been received.
- 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                  | 4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s). _____  |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)         | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449) Paper No(s) _____ | 6) <input type="checkbox"/> Other: _____                                    |

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## **DETAILED ACTION**

### ***Claim Rejections - 35 USC § 102***

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) do not apply to the examination of this application as the application being examined was not (1) filed on or after November 29, 2000, or (2) voluntarily published under 35 U.S.C. 122(b). Therefore, this application is examined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

2. Claims 10-17, 26-29, 34-36 are rejected under 35 U.S.C. 102(e) as being anticipated by Katz et al (6,424,706).

Consider claims 10, 12. Katz teaches a method for using a communication to conduct a transaction with respect to a telecommunications account, comprising receiving the communication at the service switching point (end office 151, fig. 3A), the communication being associated with a CLID (caller ID; col. 17, ln. 36-58); causing the SSP to route the

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communication to the intelligent network element (prepaid platform 170, fig. 3A); causing the intelligent network element to obtain an account number (account associated with subscriber 210; col. 13, ln. 45 to col. 14, ln. 6) and a transaction amount from the communication (), the account number corresponding to an account with respect to which transaction is to be conducted in the billing system (prepaid billing system in associated with unit minute system 340 and financial network shown in fig. 3A), the account associated with a recipient (account associated with subscriber and/or recipient 210; col. 13, ln. 45 to col. 14, ln. 6) other than a subscriber associated with a calling line number account associated with the calling line number (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6); inherently creating a billing message with respect to the calling line number, the billing message including the account number, the transaction amount, and the calling line number (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6; col. 17, ln. 36 to col. 18, ln. 21); and using the account number and the transaction amount from the billing message to execute the transaction with respect to the account corresponding to the account number (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6).

Consider claim 11. The special access code is met by the 1-800 number (toll free telephone call; col. 14, ln. 52-55).

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Consider claims 13, 28. Katz further teaches charging a fee for the transaction (transaction fees; col. 16, ln. 3-6).

Consider claim 14. Col. 14, ln. 55-61 reads on the limitations of claim 14.

Consider claims 15, 17. Katz further teaches causing the prepaid platform (170) to carry out a validation whose result comprises a determination that the calling line number is authorized with respect to conduct of the transaction (col. 17, ln. 36-48).

Consider claim 16. Col. 17, ln. 49-58 read on the limitations of claim 16.

Consider claims 26-27, 29. Katz teaches a method for execution of a transaction in the billing system (prepaid billing system in associated with unit minute system 340 and financial network shown in fig. 3A) between the calling line number account (prepaid account associated with subscriber/caller 100; col. 13, ln. 24-31) and one of the other accounts (account associated with subscriber 210; col. 13, ln. 45 to col. 14, ln. 6) to which the billing system has access, comprising providing that the billing message includes an indication for the execution of the transaction (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6), causing the billing system to make a recognition of the indication in the billing message (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6); and in response to the indication in the billing message, inherently causing the billing system to execute the transaction between the calling line number account associated with a subscriber and the one

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of the other accounts associated with a recipient other than the subscriber (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6).

Consider claim 35. Katz teaches a method for executing a transaction, comprising receiving a communication associated with a calling line number (col. 14, ln. 40 to col. 15, ln. 12); obtaining a transaction amount from the communication (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6); inherently coding the transaction amount and the calling line number into a billing message (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6); inherently posting the billing message (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6); inherently obtaining the billing message, and decoding the transaction amount and the calling line number from the billing message (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6); and crediting or debiting an account by the transaction amount, the account associated with a recipient other than a subscriber associated with the calling line number (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6).

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Consider claims 34, 36. Katz teaches a system for allowing a user to initiate a transaction and have the transaction conducted, comprising a service switching point (end office 151) for receiving a communication from a user, and for obtaining and acting on instructions regarding the communication (fig. 3, col. 14, ln. 40 to col. 16, ln. 31); a service control point (prepaid platform 170) for providing the instructions regarding the communication to the SSP, the instructions instructing the SSP to retrieve transaction information and to forward the transaction information to the SCP, for including the transaction information in a billing message by assigning the transaction information to at least a field of the billing message, and for posting the billing message for retrieval by a billing system (prepaid billing system in associated with unit minute system 340 and financial network shown in fig. 3A); and the billing system (prepaid billing system in associated with unit minute system 340 and financial network shown in fig. 3A) for inherently retrieving the billing message (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6), for inherently recognizing the transaction information in the billing message, and based on the recognition, for inherently conducting the transaction based on the transaction information (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6); and crediting or debiting an account by the transaction amount, the account associated with a recipient other than the user (caller/subscriber 100 uses the prepaid platform 170 to transfer unit

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minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6).

***Claim Rejections - 35 USC § 103***

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. Claims 1-7, 18-25, 30-33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Katz et al (6,424,706) in view of Lesley (6,333,976).

Consider claims 1-3. Katz teaches a system for allowing a user to initiate a transaction and have the transaction conducted, comprising a service switching point (end office 151) for receiving a communication from a user, and for obtaining and acting on instructions regarding the communication (fig. 3, col. 14, ln. 40 to col. 16, ln. 31); a service control point (prepaid platform 170) for providing the instructions regarding the communication to the SSP, the instructions instructing the SSP to retrieve transaction information and to forward the transaction information to the SCP, for including the transaction information in a billing message by assigning the transaction information to at least a field of the billing message, and for posting the billing message for retrieval by a billing system (prepaid billing system in associated with unit minute

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system 340 and financial network shown in fig. 3A); and the billing system (prepaid billing system in associated with unit minute system 340 and financial network shown in fig. 3A) for inherently retrieving the billing message (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6), for inherently recognizing the transaction information in the billing message, and based on the recognition, for inherently conducting the transaction based on the transaction information (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6); and crediting or debiting an account by the transaction amount, the account associated with a recipient other than the user (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6).

Katz does not clearly teach the recipient's account is a telecommunications account.

Lesley teaches a method and system for transferring monetary from a subscriber's telephone account to a subscriber's prepaid telecommunication account (col. 6, ln. 59 to col. 7, ln. 28; col. 8, ln. 50 to col. 9, ln. 9). Lesley further teaches an SCP (20) which functions as an prepaid platform (see fig. 1; col. 6, ln. 21-58).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to utilize the teachings of Lesley into the teachings of Katz, so that

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subscribers can easily add or transfer money from one telecommunication account to other telecommunication account by accessing the prepaid network.

Consider claim 7. Col. 14, ln. 55-61 reads on the limitations of claim 7.

Consider claims 4-5. Katz further teaches causing the prepaid platform (170) to carry out a validation whose result comprises a determination that the calling line number is authorized with respect to conduct of the transaction (col. 17, ln. 36-48).

Consider claim 6. Col. 17, ln. 49-58 read on the limitations of claim 6.

Consider claims 18-23. Katz teaches a method to conduct a transaction with respect to a telecommunications account (pre-paid account; col. 13, ln. 24-31) in the system, comprising obtaining a billing message generated as a result of a telecommunications service performed with respect to a calling line number (col. 14, ln. 40 to col. 16, ln. 31); in response to obtaining of the billing message, the billing system (prepaid billing system in associated with unit minute system 340 and financial network shown in fig. 3A) inherently makes a determination that the billing message includes an indication that a transaction is to be conducted with respect to a an account in the system, the account associated with a recipient other than the subscriber associated with a calling line number account associated with the calling line number (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6); and in response to the determination, the billing system (prepaid billing system in associated with unit minute system 340 and financial network shown in fig. 3A) inherently conducts the transaction with respect to the

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account (caller/subscriber 100 uses the prepaid platform 170 to transfer unit minute which represents an amount of monetary to subscriber/ recipient 210; fig. 2A; col. 13, ln. 45 to col. 14, ln. 6).

Katz does not clearly teach the recipient's account is a telecommunications account.

Lesley teaches a method and system for transferring monetary from a subscriber's telephone account to a subscriber's prepaid telecommunication account (col. 6, ln. 59 to col. 7, ln. 28; col. 8, ln. 50 to col. 9, ln. 9).

Therefore, it would have been obvious to one of ordinary skill in the art at the time the invention was made to utilize the teachings of Lesley into the teachings of Katz, so that subscribers can easily add or transfer money from one telecommunication account to other telecommunication account by accessing the prepaid network.

Consider claims 24-25, 30-31. Lesley combines the invoice relates to the transaction conducted with respect to the telecommunications account (i.e., prepay account) and the invoice for the different telecommunications account (subscriber's home telephone account) (col. 9, ln. 5-9). Furthermore, the mere fact that a given structure is integral does not preclude its consisting of various elements, Nerwin v. Erlichman, 168 USPQ 177, 179 (PTO Bd. of Int. 1969). There is also a requirement that the unification or integration involve more than just mere mechanical skill.

In re Murray, 19 C.C.P.A. (Patents) 739, 53 F.2d 541, 11 USPQ 155; In re Zabel et al., 38 C.C.P.A. (patents) 832, 186 F.2d 735, 88 USPQ 367. It appears that the unity or diversity of parts would depend more upon the choice of the manufacturer, and the convenience and

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availability of the machines and tools necessary to construct the telecommunication test system, than on any inventive concept.

Consider claims 32-33. (Lesley's col. 9, ln. 29-33) reads on the limitations of claims 32-33.

***Conclusion***

5. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Duc Nguyen whose telephone number is (703) 308-7527.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Mr. Kuntz, can be reached on (703) 305-4708.

**Any response to this action should be mailed to:**

Commissioner of Patents and Trademarks  
Washington, D.C. 20231

**or faxed to:**

(703) 872-9314 (Group's Fax numbers)  
(703) 746-7251 (Examiner's Fax number, only for proposed amendment)

Hand-delivered responses should be brought to Crystal Park II, 2121 Crystal Drive, Arlington, VA., Sixth Floor (Receptionist).

April 18, 2003

  
**DUC NGUYEN**  
**PRIMARY EXAMINER**